# Dynamic defects and heat transport in nanoscopic amorphous membranes

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# **Starting point**

# Calculation of the thermal properties and noise in nano-detectors



Pictures courtuasy to M. Leivo, A. Luukanen and J. P. Pekola

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### **Amorphous materials**



Zeller & Pohl, Phys. Rev. B 4, 2029 (1971).

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# The dynamic defects

It is assumed that the solid contains an ensemble of two-level systems (TLS).



#### **Properties of the TLSs**

Hamiltonian : 
$$H_{\text{TLS}} = \frac{\Delta}{2}\sigma_z - \frac{\Lambda}{2}\sigma_x \equiv \frac{1}{2} \begin{pmatrix} \Delta & -\Lambda \\ -\Lambda & -\Delta \end{pmatrix}$$

 $p = \Lambda / \Delta$ 

Eigenvectors: 
$$|\downarrow\rangle = \frac{\sqrt{\sqrt{1+p^2}+1}}{\sqrt{2}(1+p^2)^{1/4}} \cdot \begin{pmatrix} \frac{p}{1+\sqrt{1+p^2}}\\ 1 \end{pmatrix}$$
  
 $|\uparrow\rangle = \frac{\sqrt{\sqrt{1+p^2}-1}}{\sqrt{2}(1+p^2)^{1/4}} \cdot \begin{pmatrix} \frac{p}{1-\sqrt{1+p^2}}\\ 1 \end{pmatrix}$ 

#### **Eigenvalues and probability distribution of TLSs**

Diagonalization:

$$H'_{\rm TLS} = O^T H_{\rm TLS} O = \frac{\epsilon}{2} \sigma_z = \frac{1}{2} \begin{pmatrix} \epsilon & 0 \\ 0 & -\epsilon \end{pmatrix}$$

Probability distribution:

$$P(\Delta, \Lambda) = P_0/\Lambda, \qquad P(\epsilon, u) = \frac{P_0}{u\sqrt{1-u^2}}$$

Notations:

$$\epsilon = \sqrt{\Delta^2 + \Lambda^2}, \qquad u = \frac{\Delta}{\epsilon}$$

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# **Phonon-TLS interaction**

Interaction : 
$$H_1 = \frac{\delta}{2}\sigma_z \equiv \frac{1}{2} \begin{pmatrix} \delta & 0 \\ 0 & -\delta \end{pmatrix}$$

$$H_1' \equiv O^T H_1 O = \frac{\delta}{2\epsilon} \left( \begin{array}{cc} \Delta & -\Lambda \\ -\Lambda & -\Delta \end{array} \right)$$

$$\delta = 2\gamma_{ij}S_{ij}, \qquad S_{ij} = \frac{1}{2}(\partial_i u_j + \partial_j u_i)$$

TLS-phonon interaction in bulk:

 $\delta_{\sigma} = 2N_{\sigma}\gamma_{\sigma}k_{\sigma}, \ \sigma = t, l, \ N_{\sigma} =$ phonon normalization

#### **Transition rates and physical results**

$$\begin{split} n_{\mathbf{k}\sigma} + 1, \downarrow |H_{1}'| n_{\mathbf{k}\sigma} \uparrow \rangle &= -\sqrt{\frac{\hbar k}{2V\rho c_{\sigma}}} \gamma_{\sigma} \frac{\Lambda}{\epsilon} \sqrt{n_{\mathbf{k}\sigma} + 1} \\ \Gamma_{\mathrm{em}}(\epsilon, \mathbf{k}, \sigma) &= \gamma_{\sigma}^{2} \frac{\pi k}{V\rho c_{\sigma}} \frac{\Lambda^{2}}{\epsilon^{2}} (n_{\mathbf{k}\sigma} + 1) \delta(\hbar c_{\sigma} k - \epsilon) \\ \Gamma_{\mathrm{abs}}(\epsilon, \mathbf{k}, \sigma) &= \gamma_{\sigma}^{2} \frac{\pi k}{V\rho c_{\sigma}} \frac{\Lambda^{2}}{\epsilon^{2}} n_{\mathbf{k}\sigma} \delta(\hbar c_{\sigma} k - \epsilon) \\ \tau_{\epsilon}^{-1} &= \left(\frac{\gamma_{l}^{2}}{c_{l}^{5}} + \frac{2\gamma_{l}^{2}}{c_{t}^{5}}\right) \frac{\Lambda^{2} \epsilon}{2\pi \rho \hbar^{4}} \cdot \operatorname{coth}\left(\frac{\beta \epsilon}{2}\right) \\ \tau_{\mathbf{k}\sigma}^{-1} &= \frac{\pi \hbar \omega_{\mathbf{k},\sigma}}{\hbar \rho c_{\sigma}^{2}} \cdot \gamma_{\sigma}^{2} P_{0} \cdot \tanh\left(\frac{\beta \hbar \omega_{\mathbf{k},\sigma}}{2}\right) \\ \frac{\Delta c_{\sigma}}{c_{\sigma}} &= \frac{\gamma_{\sigma}^{2} P_{0}}{\rho c_{\sigma}^{2}} \ln\left(\frac{T}{T_{0}}\right) \\ \kappa(T) &= \frac{\rho k_{\mathrm{B}}^{3}}{6\pi \hbar^{2}} \left(\frac{c_{l}}{\gamma_{l}^{2} P_{0}} + \frac{2c_{t}}{\gamma_{t}^{2} P_{0}}\right) \end{split}$$

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# General phonon-TLS interaction

In general,

$$\delta = 2\gamma_{ij}S_{ij} \equiv 2[\gamma] : [S]$$

[S] is a symmetric tensor
↓
[γ] is a symmetric *tensor*, so that
δ is a *scalar*[γ] characterises the TLS, so we have to build its components from *general physical characteristics of the TLS*.

### **Phonon-TLS interaction tensor**

We associate a direction  $\hat{\mathbf{t}}$  to the TLS:

$$\hat{\mathbf{t}} \equiv \begin{pmatrix} t_1 \\ t_2 \\ t_3 \end{pmatrix}$$

#### and we build the simplest symmetric tensor,

$$[T] = \begin{pmatrix} t_x^2 & t_x t_y & t_x t_z \\ t_x t_y & t_y^2 & t_y t_z \\ t_x t_z & t_y t_z & t_x^2 \end{pmatrix} \equiv \hat{\mathbf{t}} \cdot \hat{\mathbf{t}}^T,$$

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# **Abbreviated subscript notations**

We switch to abbreviated subscript notations and write

$$\mathbf{S} \equiv (S_{xx}, S_{yy}, S_{zz}, 2S_{yz}, 2S_{zx}, 2S_{xy})^T$$
$$\mathbf{T} \equiv (T_{xx}, T_{yy}, T_{zz}, 2T_{yz}, 2T_{zx}, 2T_{xy})^T$$

A general interaction tensor would have the form

$$\gamma \equiv [R]^T \cdot \mathbf{T}$$

So, finally,

 $\delta = 2\mathbf{T}^T \cdot [R] \cdot \mathbf{S}.$ 

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# Properties of $\gamma$

 $\delta = 2\mathbf{T}^T \cdot [R] \cdot \mathbf{S}$  is a scalar, so the properties of [R] can be determined from the invariance of  $\delta$  to a variety of coordinates transformations.

 $\mathbf{S}' \equiv [N]\mathbf{S}, \ \mathbf{T}' = [N]\mathbf{T} \Rightarrow [N]^T \cdot [R] \cdot [N] = [R].$ Similar to the tensor of elastic constants, where

$$u = \frac{1}{2} \mathbf{S}^T[c] \mathbf{S} \implies [N]^T \cdot [c] \cdot [N] = [c]$$

#### Interaction in an isotropic medium

If the medium is isotropic, then

$$[R] = \begin{pmatrix} \zeta' + 2\xi' & \zeta' & \zeta' & 0 & 0 & 0 \\ \zeta' & \zeta' + 2\xi' & \zeta' & 0 & 0 & 0 \\ \zeta' & \zeta' & \zeta' + 2\xi' & 0 & 0 & 0 \\ 0 & 0 & 0 & \xi' & 0 & 0 \\ 0 & 0 & 0 & 0 & \xi' & 0 \\ 0 & 0 & 0 & 0 & 0 & \xi' \end{pmatrix} \equiv \tilde{\gamma} \cdot [r]$$

where we defined with  $\tilde{\gamma} \equiv \zeta' + 2\xi'$  and we shall use the notations  $\zeta \equiv \zeta'/\tilde{\gamma}$  and  $\xi \equiv \xi'/\tilde{\gamma}$ .

# **Interaction Hamiltonian**

Introducing the excitation and de-excitation operators for the TLS,

$$a^{\dagger} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, a = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

we write the interaction hamiltonian in the second quantization as

$$\tilde{H}_{1} = \frac{\tilde{\gamma}\Delta}{\epsilon} \mathbf{T}^{T} \cdot [r] \cdot \sum_{\mu} \left[ \mathbf{S}_{\mu}b_{\mu} + \mathbf{S}_{\mu}^{\star}b_{\mu}^{\dagger} \right] (2a^{\dagger}a - 1) \\
- \frac{\tilde{\gamma}\Lambda}{\epsilon} \mathbf{T}^{T} \cdot [r] \cdot \sum_{\mu} \left[ \mathbf{S}_{\mu}b_{\mu} + \mathbf{S}_{\mu}^{\star}b_{\mu}^{\dagger} \right] (a^{\dagger} + a)$$

#### **Results:**

$$\langle n_{\mathbf{k}\sigma}, \uparrow | \tilde{H}_1 | n_{\mathbf{k}\sigma} + 1, \downarrow \rangle = -\frac{\tilde{\gamma}\Lambda}{\epsilon} \sqrt{\frac{\hbar n_{\mathbf{k}\sigma}}{2V\rho\omega_{\mathbf{k}\sigma}}} \mathbf{T}^T \cdot [r] \cdot \mathbf{S}_{\mathbf{k}\sigma}$$

We take the modulus square and average over  $\hat{\mathbf{t}}$  to get:

$$\overline{\Gamma}_{|n_{\mathbf{k}\sigma},\uparrow\rangle,|n_{\mathbf{k}\sigma}+1,\downarrow\rangle} = C_{\sigma} \tilde{\gamma}^{2} \frac{n_{\mathbf{k}\sigma} \pi k}{\rho c_{\sigma}} \cdot \frac{\Lambda^{2}}{\epsilon^{2}} \delta(\hbar \omega_{\mathbf{k}\sigma} - \epsilon)$$
  
$$\overline{\Gamma}_{|n_{\mathbf{k}\sigma}+1,\uparrow\rangle,|n_{\mathbf{k}\sigma},\downarrow\rangle} = C_{\sigma} \tilde{\gamma}^{2} \frac{(n_{\mathbf{k}\sigma}+1)\pi k}{V \rho c_{\sigma}} \cdot \frac{\Lambda^{2}}{\epsilon^{2}} \delta(\hbar \omega_{\mathbf{k}\sigma} - \epsilon)$$

with  $C_l \tilde{\gamma}^2 = \gamma_l$  and  $C_t \tilde{\gamma}^2 = \gamma_t$  where

$$C_l = \frac{2}{30}(15 - 40\xi + 32\xi^2), \ C_t = \frac{8}{30}\xi^2$$

Note:  $C_l/C_t \ge 4/3$  for any  $\xi$ , *in agreement with experiment*.

# **Calculation of the parameters**

From experiment (J. L. Black, Phys. Rev. B, **17**, 2704, 1978): 1.  $P_0 \gamma_l^2 = 1.4 \times 10^{-5} J/m^3$ ,  $P_0 \gamma_t^2 = 0.63 \times 10^{-5} J/m^3$ , 2.  $P_0 \gamma_l^2 = 2.0 \times 10^{-5} J/m^3$ ,  $P_0 \gamma_t^2 = 0.89 \times 10^{-5} J/m^3$  $\downarrow$ 

Both sets, almost the same solutions:

 $\xi_1 \approx 1.2, \qquad \zeta_1 \approx -1.4$  $\xi_2 \approx 0.55, \qquad \zeta_2 \approx -0.1$ 

# **Scattering of waves**

A longitudinal wave propagating along the x direction:

$$\mathbf{S} = (S, 0, 0, 0, 0, 0)^{T}$$
  

$$\gamma = 2\tilde{\gamma}[t_{x}^{2} + \zeta(t_{y}^{2} + t_{z}^{2})]S$$

is scattered by any TLS.

A transversal wave of  $\mathbf{S} = (0, 0, 0, S, 0, 0)^T$ 

$$\gamma = 4\tilde{\gamma}t_y t_z S$$

is *not* scattered by TLSs perpendicular to the propagation direction or to the polarization direction.

# Polarization of the TLS ensemble

If we apply a longitudinal strain along the x direction,  $\mathbf{S} = (S, 0, 0, 0, 0, 0)^T$ , this will change the asymetry of the TLS potential by

$$\gamma = 2\tilde{\gamma}[t_x^2 + \zeta(t_y^2 + t_z^2)]S,$$

So the change of  $\Delta$ , and therefore of  $\epsilon$ , of the TLS oriented along the strain direction might have an opposite sign as compared to the quantities corresponding to the TLSs perpendicular to the strain direction.

#### TLS-phonon interaction in membranes

$$\langle n_{\mathbf{k}\sigma}, \uparrow | \tilde{H}_1 | n_{\mathbf{k}\sigma} + 1, \downarrow \rangle = -\frac{\tilde{\gamma}\Lambda}{\epsilon} \sqrt{\frac{\hbar n_{\mathbf{k}\sigma}}{2\rho\omega_{\mathbf{k}\sigma}}} \mathbf{T}^T \cdot [r] \cdot \mathbf{S}_{\mathbf{k}\sigma}$$

Notation:  $M_{\mu}(\hat{\mathbf{t}}) = \mathbf{T}^T \cdot [r] \cdot \mathbf{S}_{\mu}.$ 

$$\tau_{\epsilon}^{-1} = \frac{\pi}{\rho} \frac{\tilde{\gamma}^2 \Lambda^2}{\epsilon^2} \coth(\beta \epsilon/2) \sum_{\mu} \frac{1}{\omega_{\mu}} \langle |M_{\mu}|^2 \rangle \delta(\hbar \omega_{\mu} - \epsilon)$$

$$\tau_{\mu}^{-1} = \frac{\pi \tilde{\gamma}^2 V P_0}{\rho \omega_{\mu}} \langle |M_{\mu}|^2 \rangle \tanh(\beta \hbar \omega/2)$$

$$\kappa = \frac{\hbar^2 \rho}{16\pi^2 \tilde{\gamma}^2 V P_0} \frac{1}{k_{\rm B} T^2} \sum_{n,\sigma} \int_0^\infty dk_{\parallel} \left(\frac{\partial \omega_{\mu}}{\partial k_{\parallel}}\right)^2 \frac{k_{\parallel} \omega_{\mu}^3}{\langle |M_{\mu}|^2 \rangle} \frac{\coth(\beta \hbar \omega/2)}{\sinh^2(\beta \hbar \omega/2)}$$

### Low temperature expansions

Horisontal shear (h) mode:

$$\tau_{h,0,k_{\parallel}} = \frac{\hbar\rho c_t^2}{\pi\tilde{\gamma}^2 P_0} \frac{1}{C_t} \frac{\coth(\beta\hbar\omega/2)}{\hbar\omega}$$

Symmetric (s) mode:

$$\tau_{s,0,k_{\parallel}} = \frac{\hbar\rho c_t^2}{\pi\tilde{\gamma}^2 P_0} \frac{1}{C_s} \frac{\coth(\beta\hbar\omega/2)}{\hbar\omega}$$

Antisymmetric (a) mode:

$$\tau_{a,0,k_{\parallel}} = \frac{\hbar\rho c_t^2}{\pi\tilde{\gamma}^2 P_0} \frac{1}{C_a} \frac{\coth(\beta\hbar\omega/2)}{\hbar\omega}$$
$$\kappa \approx \frac{k_B^2 \rho c_t^2}{4\pi^2 \hbar\tilde{\gamma}^2 P_0} T \sum_{\sigma} \frac{3 - 2\ln(x_{\sigma,0,k_{c,h}})}{C_{\sigma}}, \ x_{\sigma,0,k_{c,\sigma}} \equiv \beta\hbar\omega_{\sigma,0,k_{c,\sigma}} \ll 1$$

# Conclusions

- We introduced a model of interaction of a TLS with general strain fields.
- For this we associated to the TLS a direction in space,  $\hat{t}$ , and a matrix of coupling constants, [R] (in abbreviated subscript notations).
- The matrix of coupling constants has the same properties as, for example, the matrix of elastic stiffness constant, [c].
- The TLS-phonon interaction in bulk is re-obtained from our model as an average interaction over the directions of the TLS.
- We calculated the thermal properties of amorphous, nanoscopic membranes.
- Anisotropy of TLS-sound wave interaction appears naturally.
- We predict the polarization of the TLS ensemble in a strained body.

### Resources

1. D. V. Anghel, T. Kühn, Y. M Galperin, and M. Manninen, *The tensor of interaction of a two-level system with an arbitrary strain field*, J. Phys.: Conf. Series **92**, 12133 (2007); arXiv:0710.0720.

2. T. Kühn, D. V. Anghel, Y. M Galperin, and M. Manninen, *Interaction of Lamb modes with two-level systems in amorphous nanoscopic membranes*, Phys. Rev. B **76**, 165425 (2007); arXiv:0705.1936.

3. D. V. Anghel and T. Kühn, *Quantization of the elastic modes in an isotropic plate*, J. Phys. A: Math. Theor. **40**, 10429 (2007); cond-mat/0611528.

4. D. V. Anghel, T. Kühn, Y. M Galperin, and M. Manninen, *Interaction of two-level systems in amorphous materials with arbitrary phonon fields*, Phys. Rev. B **75**, 064202 (2007); cond-mat/0610469.

5. 4. D. V. Anghel, T. Kühn, and Y. M Galperin, *Thermal properties of mesoscopic amorphous membranes*, Series in Micro and Nanotechnologies **11**, 286 (2007).

6. T. Kühn, D. V. Anghel, J. P. Pekola, M. Manninen, and Y. M Galperin, Heat transport in

ultra-thin dielectric membranes and bridges, Phys. Rev. B 70, 125425 (2004); cond-mat/0404676.